## Logic

## Logics on words

- Regular expressions give operational descriptions of regular languages.
- Often the natural description of a language is declarative:
  - -even number of *a*'s and even number of *b*'s vs.

 $(aa + bb + (ab + ba)(aa + bb)^*(ba + ab))^*$ 

#### - words not containing 'hello'

 Goal: find a declarative language able to express all the regular languages, and only the regular languages.

## Logics on words

- Idea: use a logic that has an interpretation on words
- A formula expresses a property that each word may satisfy or not, like
  - the word contains only a's
  - the word has even length
  - between every occurrence of an *a* and a *b* there is an occurrence of a *c*
- Every formula (indirectly) defines a language: the language of all the words over the given fixed alphabet that satisfy it.

#### First-order logic on words

Atomic formulas: for each letter a we introduce the formula Q<sub>a</sub>(x), with intuitive meaning: the letter at position x is an a.

## First-order logic on words: Syntax

- Formulas constructed out of atomic formulas by means of standard "logic machinery":
  - Alphabet  $\Sigma = \{a, b, ...\}$  and position variables  $V = \{x, y, ...\}$
  - $-Q_a(x)$  is a formula for every  $a \in \Sigma$  and  $x \in V$ .
  - -x < y is a formula for every  $x, y \in V$
  - If  $\varphi$ ,  $\varphi_1$ ,  $\varphi_2$  are formulas then so are  $\neg \varphi$  and  $\varphi_1 \lor \varphi_2$
  - If  $\varphi$  is a formula then so is  $\exists x \ \varphi$  for every  $x \in V$

#### **Abbreviations**

- $\varphi_1 \land \varphi_2 \coloneqq \neg (\neg \varphi_1 \lor \neg \varphi_2)$
- $\varphi_1 \to \varphi_2 \coloneqq \neg \varphi_1 \lor \varphi_2$
- $\varphi_1 \leftrightarrow \varphi_2 \coloneqq (\varphi_1 \land \varphi_2) \lor (\neg \varphi_1 \land \neg \varphi_2)$
- $\forall x \ \varphi := \neg \exists x \neg \varphi$

#### **Abbreviations**

- first(x) :=  $\neg \exists y \ y < x$  last(x) :=  $\neg \exists y \ x < y$
- $y = x + 1 \coloneqq x < y \land \neg \exists z (x < z \land z < y)$
- $y = x + 2 \coloneqq \exists z (z = x + 1 \land y = z + 1)$

• 
$$y = x + k := \exists z \ (z = x + 1 \land y = z + (k - 1))$$

- $x < k \coloneqq \forall y \forall z \text{ (first}(y) \land z = y + k) \rightarrow x < z)$
- last  $< k \coloneqq \forall x (last(x) \rightarrow x < k)$

. . .

• "The last letter is a *b* and before it there are only *a*'s."

• "Every *a* is immediately followed by a *b*."

• "Every *a* is immediately followed by a *b*, unless it is the last letter."

• "The last letter is a *b* and before it there are only *a*'s."

 $\exists x \ Q_b(x) \land \forall x (\text{last}(x) \to Q_b(x) \land \neg \text{last}(x) \to Q_a(x))$ 

• "Every *a* is immediately followed by a *b*."

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• "Every *a* is immediately followed by a *b*."

$$\forall x (Q_a(x) \to \exists y (y = x + 1 \land Q_b(y)))$$

• "Every *a* is immediately followed by a *b*, unless it is the last letter."

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• "The last letter is a *b* and before it there are only *a*'s."

 $\exists x \ Q_b(x) \land \forall x (\text{last}(x) \to Q_b(x) \land \neg \text{last}(x) \to Q_a(x))$ 

• "Every *a* is immediately followed by a *b*."

$$\forall x (Q_a(x) \to \exists y (y = x + 1 \land Q_b(y)))$$

• "Every *a* is immediately followed by a *b*, unless it is the last letter."

$$\forall x (Q_a(x) \to \forall y (y = x + 1 \to Q_b(y)))$$

• "Between every *a* and every later *b* there is a *c*."

 $\forall x \forall y (Q_a(x) \land Q_b(y) \land x < y \rightarrow \exists z (x < z \land z < y \land Q_c(z)))$ 

## First-order logic on words: Semantics

- Formulas are interpreted on pairs (*w*, *J*) called interpretations, where
  - -w is a word, and
  - J assigns positions to the free variables of the formula (and maybe to others too—who cares)
- It does not make sense to say a formula is true or false: it can only be true or false for a given interpretation.
- If the formula has no free variables (if it is a sentence), then for each word it is either true or false.

- Satisfaction relation:
- More logic jargon:
  - A formula is valid if it is true for all its interpretations
  - A formula is satisfiable if is is true for at least one of its interpretations

## The empty word ...

• ... satisfies all universally quantified formulas, and no existentially quantified formula.

#### Can FOL express non-regular languages? Can FOL express all regular languages?

- The language L(φ) of a sentence φ is the set of words that satisfy φ.
- A language *L* is expressible in first-order logic or FOdefinable if some sentence  $\varphi$  satisfies  $L(\varphi) = L$ .
- Proposition: a language over a one-letter alphabet is expressible in first-order logic iff it is finite or co-finite (its complement is finite).
- Consequence: we can only express regular languages, but not all, not even the language of words of even length.

#### **Proof sketch**

#### 1. If *L* is finite, then it is FO-definable

#### 2. If *L* is co-finite, then it is FO-definable.

### **Proof sketch**

- 3. If *L* is FO-definable (over a one-letter alphabet), then it is finite or co-finite.
  - 1) We define a new logic QF (quantifier-free fragment)
  - 2) We show that a language is QF-definable iff it is finite or co-finite
  - 3) We show that a language is QF-definable iff it is FO-definable.

## 1) The logic QF

- x < k x > k
  - $x < y + k \quad x > y + k$
  - k < last k > last

are formulas for every variable x, y and every  $k \ge 0$ .

• If  $f_1, f_2$  are formulas, then so are  $f_1 \vee f_2$  and  $f_1 \wedge f_2$ 

#### 2) *L* is QF-definable iff it is finite or co-finite

 $(\rightarrow)$  Let *f* be a sentence of QF.

Then f is a positive boolean combination of formulas k < last and k > last.

 $L(k < \text{last}) = \{k + 1, k + 2, ...\}$  is co-finite (we identify words and numbers)

$$L(k > \text{last}) = \{0, 1, ..., k\}$$
 is finite

 $L(f_1 \lor f_2) = L(f_1) \cup L(f_2)$  and so if  $L(f_1)$  and  $L(f_2)$  finite or co-finite then L is finite or co-finite.

 $L(f_1 \wedge f_2) = L(f_1) \cap L(f_2)$  and so if  $L(f_1)$  and  $L(f_2)$  finite or co-finite then L is finite or co-finite.

#### 2) *L* is QF-definable iff it is finite or co-finite

$$(\leftarrow) \text{ If } L = \{k_1, \dots, k_n\} \text{ is finite, then} \\ (k_1 - 1 < \text{ last } \land \text{ last } < k_1 + 1) \lor \cdots \lor \\ (k_n - 1 < \text{ last } \land \text{ last } < k_n + 1)$$

expresses L.

If *L* is co-finite, then its complement is finite, and so expressed by some formula. We show that for every f some formula neg(f) expresses  $\overline{L(f)}$ 

- $\operatorname{neg}(k < \operatorname{last}) = (k 1 < \operatorname{last} \land \operatorname{last} < k + 1) \lor \operatorname{last} < k$
- $\operatorname{neg}(f_1 \lor f_2) = \operatorname{neg}(f_1) \land \operatorname{neg}(f_2)$
- $\operatorname{neg}(f_1 \wedge f_2) = \operatorname{neg}(f_1) \vee \operatorname{neg}(f_2)$

## 3) Every first-order formula $\varphi$ has an equivalent QF-formula $QF(\varphi)$

- QF(x < y) = x < y + 0
- $QF(\neg \varphi) = \operatorname{neg}(QF(\varphi))$
- $QF(\varphi_1 \lor \varphi_2) = QF(\varphi_1) \lor QF(\varphi_2)$
- $QF(\varphi_1 \land \varphi_2) = QF(\varphi_1) \land QF(\varphi_2)$
- $QF(\exists x \ \varphi) =$ 
  - Put  $QF(\varphi)$  in disjunctive normal form. Assume  $QF(\varphi) = (\varphi_1 \lor ... \lor \varphi_n)$ , where each  $\varphi_i$  is a conjunction of atomic formulas.
  - Since  $\exists x \ (\varphi_1 \lor ... \lor \varphi_n) \equiv \exists x \ \varphi_1 \lor ... \lor \exists x \ \varphi_n$ , it suffices to define  $QF(\exists x \ \varphi)$  for the case in which  $\varphi$  is a conjunction of atomic formulas of QF
  - For this case, see example in the next slide.

- Consider the formula  $\exists x \quad x < y + 3 \quad \land$   $z < x + 4 \quad \land$   $z < y + 2 \quad \land$ y < x + 1
- The equivalent QF-formula is  $z < y + 8 \land y < y + 5 \land z < y + 2$

## Monadic second-order logic

- First-order variables: interpreted on positions
- Monadic second-order variables: interpreted on sets of positions.
  - Diadic second-order variables: interpreted on relations over positions
  - Monadic third-order variables: interpreted on sets of sets of positions
  - New atomic formulas:  $x \in X$

## Expressing "even length"

- Express
  - There is a set X of positions such that
  - X contains exactly the even positions, and
     the last position belongs to X.
- Express

#### X contains exactly the even positions

as

A position is in X iff it is the second position or the second successor of another position of X

## Syntax and semantics of MSO

- New set {*X*, *Y*, *Z*, ... } of second-order variables
- New syntax:  $x \in X$  and  $\exists X \varphi$
- New semantics:
  - Interpretations now also assign sets of positions to the free second-order variables.
  - Satisfaction defined as expected.

#### Expressing "even length"

•  $\operatorname{second}(x) = \exists y (\operatorname{first}(y) \land x = y + 1)$ 

• Even(X) = 
$$\forall y \left( x \in X \leftrightarrow \begin{pmatrix} \text{second}(x) \\ \forall \exists y (x = y + 2 \land y \in X) \end{pmatrix} \right)$$

• Evenlength =  $\exists X \begin{pmatrix} Even(X) \land \\ \forall x (last(x) \rightarrow x \in X) \end{pmatrix}$ 

#### Expressing $c^*(ab)^*d^*$

- Express:
  - There is a block X of consecutive positions such that
  - before X there are only c's;
  - after X there are only d's;
  - a's and b's alternate in X;
  - the first letter in X is an a, and the last is a b.
- Then we can take the formula  $\exists X (Cons(X) \land Boc(X) \land Aod(X) \land Alt(X)$  $\land Fa(X) \land Lb(X)$

#### • Before X there are only c's

#### • In X a's and b's alternate

 $Cons(X) := \forall x \in X \ \forall y \in X \ (x < y \rightarrow (\forall z \ (x < z \land z < y) \rightarrow z \in X))$ 

• Before X there are only c's

#### • In X a's and b's alternate

 $Cons(X) := \forall x \in X \ \forall y \in X \ (x < y \rightarrow (\forall z \ (x < z \land z < y) \rightarrow z \in X))$ 

#### • Before X there are only c's

Before(x, X) :=  $\forall y \in X \ x < y$ 

Before\_only\_c(X) :=  $\forall x \text{ Before}(x, X) \rightarrow Q_c(x)$ 

#### • In X a's and b's alternate

 $Cons(X) := \forall x \in X \ \forall y \in X \ (x < y \rightarrow (\forall z \ (x < z \land z < y) \rightarrow z \in X))$ 

#### • Before X there are only c's

Before(x, X) :=  $\forall y \in X \ x < y$ Before\_only\_c(X) :=  $\forall x$  Before(x, X)  $\rightarrow Q_c(x)$ 

#### • In X a's and b's alternate

Alternate(X) :=  $\forall x \in X$  ( $Q_a(x) \rightarrow \forall y \in X (y = x + 1 \rightarrow Q_b(y))$   $\land$  $Q_b(x) \rightarrow \forall y \in X (y = x + 1 \rightarrow Q_a(y)))$ 

# Every regular language is expressible in MSO logic

- Goal: given an arbitrary regular language L, construct an MSO sentence  $\varphi$  s.t.  $L = L(\varphi)$ .
- It suffices to construct φ s.t. w ∈ L iff w ∈ L(φ) for every nonempty word w. (Avoid the corner-case of the empty word.)
- We use: if *L* is regular, then there is a DFA *A* recognizing *L*.
- Idea: construct a formula expressing the run of A on this word is accepting

- Fix a regular language *L*.
- Fix a DFA A with states  $q_0, \ldots, q_n$  recognizing L.
- Fix a nonempty word  $w = a_1 a_2 \dots a_m$ .
- Let R(q) be the set of positions *i* such that after reading  $a_1a_2 \dots a_i$  the automaton A is in state q.
- We have:

A accepts w iff  $m \in P_q$  for some final state q.



 Assume we can construct a formula Visits $(X_0, \ldots, X_n)$ which is true for  $(w, \mathcal{J})$  iff  $\boldsymbol{\mathcal{J}}(X_0) = R(q_0), \dots, \boldsymbol{\mathcal{J}}(X_n) = R(q_n)$ • Then  $(w, \mathcal{I})$  satisfies the formula  $\forall X_0 \cdots \forall X_n \; \forall x \; \left( (\text{Visits}(X_0, \dots, X_n) \land \text{last}(x)) \to \bigvee_{q_i \in F} x \in X_i \right)$ 

iff the state after the last position is accepting, and we easily get a formula expressing *L*.

- To construct Visits(X<sub>0</sub>,...,X<sub>n</sub>) we observe that the sets R(q) are the unique sets satisfying
  - a)  $1 \in R(\delta(q_0, a_1))$  i.e., after reading the first letter the DFA is in state  $\delta(q_0, a_1)$ .
  - b) The sets *R*(*q*) build a partition of the set of positions, i.e., the DFA is always in exactly one state.
  - c) If  $i \in R(q)$  and  $\delta(q, a_{i+1}) = q'$  then  $i + 1 \in R(q')$ , i.e., the sets "match"  $\delta$ .
- We give formulas for a), b), and c)

$$\operatorname{Init}(X_0,\ldots,X_n) = \exists x \left( \operatorname{first}(x) \land \left( \bigvee_{a \in \Sigma} (Q_a(x) \land x \in X_{i_a}) \right) \right)$$

Partition
$$(X_0, \dots, X_n) = \forall x \begin{pmatrix} n & & n \\ \bigvee_{i=0}^n x \in X_i \land & \bigwedge_{i, j = 0}^n (x \in X_i \to x \notin X_j) \\ & & i, j = 0 \\ & & i \neq j \end{pmatrix}$$

• Formula for c)

$$\mathsf{Respect}(X_0, \dots, X_n) = \\ \forall x \forall y \left( \begin{array}{c} y = x + 1 \rightarrow & \bigvee \\ & a \in \Sigma \\ & i, j \in \{0, \dots, n\} \\ & \delta(q_i, a) = q_j \end{array} \right) (x \in X_i \land Q_a(x) \land y \in X_j)$$

• Together:

Visits $(X_0, \ldots, X_n) := \text{Init}(X_0, \ldots, X_n) \land$ Partition $(X_0, \ldots, X_n) \land$ Respect $(X_0, \ldots, X_n)$ 

## Every language expressible in MSO logic is regular

Recall: an interpretation of a formula is a pair (w, J) consisting of a word w and assignments J to the free first and second order variables (and perhaps to others).

$$\begin{pmatrix} x \mapsto 1 \\ y \mapsto 3 \\ X \mapsto \{2,3\} \\ Y \mapsto \{1,2\} \end{pmatrix} \qquad \begin{pmatrix} x \mapsto 2 \\ y \mapsto 1 \\ ba, & X \mapsto 0 \\ Y \mapsto \{1\} \end{pmatrix}$$

• We encode interpretations as words.

$$\begin{pmatrix} x \mapsto 1 \\ y \mapsto 3 \\ X \mapsto \{2,3\} \\ Y \mapsto \{1,2\} \end{pmatrix} \qquad \begin{pmatrix} x \mapsto 2 \\ y \mapsto 1 \\ ba, & Y \mapsto 0 \\ Y \mapsto \{1\} \end{pmatrix}$$

$$\begin{array}{c} a & a & b \\ x & 1 & 0 & 0 \\ y & 0 & 0 & 1 \\ y & 0 & 0 & 1 \\ Y & 1 & 1 & 0 \end{array} \qquad \begin{array}{c} x \mapsto 2 \\ ba, & Y \mapsto 1 \\ Y \mapsto 0 \\ Y \mapsto \{1\} \end{pmatrix}$$

- Given a formula with *n* free variables, we encode an interpretation (*w*, *I*) as a word *enc*(*w*, *I*) over the alphabet Σ × {0,1}<sup>n</sup>.
- The language of the formula  $\varphi$ , denoted by  $L(\varphi)$ , is given by

 $L(\varphi) := \{enc(w, \mathcal{J}) \mid (w, \mathcal{J}) \vDash \varphi\}$ 

 We prove by induction on the structure of φ that L(φ) is regular (and explicitly construct an automaton for it).

Case  $\varphi = Q_a(x)$ 

φ = Q<sub>a</sub>(x). Then free(φ) = x, and the interpretations of φ are encoded as words over Σ × {0, 1}. The language L(φ) is given by

$$L(\varphi) = \begin{cases} \begin{bmatrix} a_1 \\ b_1 \end{bmatrix} \dots \begin{bmatrix} a_k \\ b_k \end{bmatrix} \begin{vmatrix} k \ge 0, \\ a_i \in \Sigma \text{ and } b_i \in \{0, 1\} \text{ for every } i \in \{1, \dots, k\}, \text{ and} \\ b_i = 1 \text{ for exactly one index } i \in \{1, \dots, k\} \text{ such that } a_i = a \end{cases}$$

and is recognized by



Case  $\varphi = x < y$ 

φ = x < y. Then *free*(φ) = {x, y}, and the interpretations of φ are encoded as words over Σ × {0, 1}<sup>2</sup>. The language L(φ) is given by

$$L(\varphi) = \begin{cases} \begin{bmatrix} a_1 \\ b_1 \\ c_1 \end{bmatrix} \cdots \begin{bmatrix} a_k \\ b_k \\ c_k \end{bmatrix} \begin{vmatrix} k \ge 0, \\ a_i \in \Sigma \text{ and } b_i, c_i \in \{0, 1\} \text{ for every } i \in \{1, \dots, k\}, \\ b_i = 1 \text{ for exactly one index } i \in \{1, \dots, k\}, \\ c_j = 1 \text{ for exactly one index } j \in \{1, \dots, k\}, \text{ and } i < j \end{cases}$$

and is recognized by



Case  $\varphi = x \in X$ 

φ = x ∈ X. Then *free*(φ) = {x, X}, and interpretations are encoded as words over Σ × {0, 1}<sup>2</sup>. The language L(φ) is given by

$$L(\varphi) = \begin{cases} \begin{bmatrix} a_1 \\ b_1 \\ c_1 \end{bmatrix} \dots \begin{bmatrix} a_k \\ b_k \\ c_k \end{bmatrix} \begin{vmatrix} k \ge 0, \\ a_i \in \Sigma \text{ and } b_i, c_i \in \{0, 1\} \text{ for every } i \in \{1, \dots, k\}, \\ b_i = 1 \text{ for exactly one index } i \in \{1, \dots, k\}, \text{ and} \\ \text{ for every } i \in \{1, \dots, k\}, \text{ if } b_i = 1 \text{ then } c_i = 1 \end{cases}$$

and is recognized by



Case  $\varphi = \neg \psi$ 

- Then free( $\varphi$ ) = free( $\psi$ ). By i.h.  $L(\psi)$  is regular.
- $L(\varphi)$  is equal to  $\overline{L(\psi)}$  minus the words that do not encode any implementation ("the garbage").
- Equivalently,  $L(\varphi)$  is equal to the intersection of  $\overline{L(\psi)}$  and the encodings of all interpretations of  $\psi$ .
- We show that the set of these encodings is regular.
  - Condition for encoding: Let x be a free first-oder variable of ψ. The projection of an encoding onto x must belong to 0\*10\* (because it represents one position).
  - So we just need an automaton for the words satisfying this condition for every free first-order variable.

Example: free( $\varphi$ ) = {x, y}



Case  $\varphi = \varphi_1 \vee \varphi_2$ 

- Then free( $\varphi_1$ ) = free( $\varphi_1$ ) U free( $\varphi_2$ ). By i.h.  $L(\varphi_1)$  and  $L(\varphi_2)$  are regular.
- If  $free(\varphi_1) = free(\varphi_2)$  then  $L(\varphi) = L(\varphi_1) \cup L(\varphi_2)$ and so  $L(\varphi)$  is regular.
- If  $free(\varphi_1) \neq free(\varphi_2)$  then we extend  $L(\varphi_1)$  to  $L_1$ encoding all interpretations of  $free(\varphi_1) \cup free(\varphi_2)$ whose projection onto  $free(\varphi_1)$  belongs to  $L(\varphi_1)$ . Similarly we extend  $L(\varphi_2)$  to  $L_2$ . We have
  - $-L_1$  and  $L_2$  are regular.
  - $L(\varphi) = L_1 \cup L_2.$

Example:  $\varphi = Q_a(x) \vee Q_b(y)$ 

- $L_1$  contains the encodings of all interpretations  $(w, \{x \mapsto n_1, y \mapsto n_2\})$  such that the encoding of  $(w, \{x \mapsto n_1\})$  belongs to  $L(Q_a(x))$ .
- Automata for  $L(Q_a(x))$  and  $L_1$ :



## Cases $\varphi = \exists x \psi$ and $\varphi = \exists X \psi$

- Then  $free(\varphi) = free(\psi) \setminus \{x\}$  or  $free(\varphi) = free(\psi) \setminus \{X\}$
- By i.h.  $L(\psi)$  is regular.
- L(φ) is the result of projecting L(ψ) onto the components for free(ψ)\ {x} or for free(ψ)\ {X}.

Example:  $\varphi = Q_a(x)$ 

• Automata for  $Q_a(x)$  and  $\exists x Q_a(x)$ 



## The mega-example

- We compute an automaton for  $\exists x ( \text{last}(x) \land Q_b(x) ) \land \forall x (\neg \text{last}(x) \rightarrow Q_a(x) )$
- First we rewrite it into  $\exists x \left( \mathsf{last}(x) \land Q_b(x) \right) \land \neg \exists x \left( \neg \mathsf{last}(x) \land \neg Q_a(x) \right)$
- In the next slides we
  - 1. compute a DFA for last(x)
  - 2. compute DFAs for  $\exists x (last(x) \land Q_b(x))$  and  $\neg \exists x (\neg last(x) \land \neg Q_a(x))$
  - 3. compute a DFA for the complete formula.
  - We denote the DFA for a formula  $\psi$  by  $[\psi]$ .









 $[\exists x ( \text{last}(x) \land Q_b(x))]$ 



 $[\neg Q_a(x)]$ 



 $[\neg \exists x (\neg \operatorname{last}(x) \land \neg Q_a(x))]$ 



$$[\exists x (last(x) \land Q_b(x)) \land \neg \exists x (\neg last(x) \land \neg Q_a(x))]$$



 $[\exists x (last(x) \land Q_b(x)) \land \neg \exists x (\neg last(x) \land \neg Q_a(x))]$