# Automata theory

An algorithmic approach

## Automata as data structures

- Data structures allow us to represent sets of objects in a computer.
- Different data structures support different sets of operations (dictionary, stack, queue, priority queue, ...):

Op. set	Operations	Data structures
Dictionary	insert, lookup, remove	Hash tables, arrays, search trees
Stack	push, pop	Linked list, array
Priority queue	insert_with_priority, extract_highest_priority	Heap, binomial heap, Fibonacci heap
Union-find	set union, find set	Linked lists, disjoint forests

#### Automata as data structures

- In this course we look at automata as a data structure supporting
  - the boolean operations of set theory (union, intersection, complement with respect to a given universe set)
  - property checks (emptiness, universality, inclusion, equality)
  - operations on relations (projections, joins, pre, post)

#### In more detail

Member(x, X)	:	returns <b>true</b> if $x \in X$ , <b>false</b> otherwise.
<b>Complement</b> ( <i>X</i> )	:	returns $U \setminus X$ .
<b>Intersection</b> ( <i>X</i> , <i>Y</i> )	:	returns $X \cap Y$ .
<b>Union</b> $(X, Y)$	:	returns $X \cup Y$ .
$\mathbf{Empty}(X)$	:	returns <b>true</b> if $X = \emptyset$ , <b>false</b> otherwise.
Universal $(X)$	:	returns <b>true</b> if $X = U$ , <b>false</b> otherwise.
<b>Included</b> $(X, Y)$	:	returns <b>true</b> if $X \subseteq Y$ , <b>false</b> otherwise.
Equal(X, Y)	:	returns <b>true</b> if $X = Y$ , <b>false</b> otherwise.
<b>Projection</b> _ $1(R)$	:	returns the set $\pi_1(R) = \{x \mid \exists y (x, y) \in R\}.$
<b>Projection_2</b> ( <i>R</i> )	:	returns the set $\pi_2(R) = \{y \mid \exists x (x, y) \in R\}.$
$\mathbf{Join}(R, S)$	:	returns $R \circ S = \{(x, z) \mid \exists y \in X (x, y) \in R \land (y, z) \in S \}$
$\mathbf{Post}(X, R)$	:	returns $post_R(X) = \{y \in U \mid \exists x \in X (x, y) \in R\}.$
$\mathbf{Pre}(X, R)$	:	returns $pre_R(X) = \{y \in U \mid \exists x \in X (y, x) \in R\}.$

- U denotes some universe of objects (numbers, names, records, ...)
- X, Y denote subsets of U, x denotes an element of U
- R, S denote binary relations on U, i.e.,  $R, S \subseteq U \times U$

## Basic idea

- Elements of the universe can be encoded as words (strings over some alphabet)
- Sets can be encoded as languages (sets of words)
- Automata recognize languages.

• An automaton for the strings encoding decimal numbers



• An automaton for the multiples of 3 in binary.



• An automaton for the nonnegative solutions of  $2x - y \le 2$  in binary (least significant bit first)



• An automaton for the reachable configurations of a program

1 while x = 1 do 2 if y = 1 then 3  $x \leftarrow 0$ 4  $y \leftarrow 1 - x$ 5 end

